

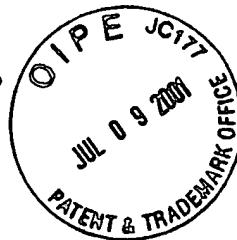


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/843,166	04/27/2001	Robert I.G. Thompson	P 0280189 UQ150684/WO

00909  
PILLSBURY WINTHROP LLP  
1600 TYSONS BOULEVARD  
MCLEAN, VA 22102



CONFIRMATION NO. 8587

FORMALITIES LETTER



\*OC000000006245346\*

Date Mailed: 06/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- o The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/12/2001 11:41:51 00000650 09843166

01 7071201  
02 7071102

710.00 GP  
130.00 GP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

N Sector  
Page 1 of 2

## FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)  
For Design, Provisional, or Utility ApplicationsPATENT  
APPLICATIONCOMPLETION Under  
Rule 53(f)In re PATENT APPLICATION of

Inventor(s): THOMPSON et al.

Appln. No.: 09

Series Code ↑

843,166

Serial No. ↑

Atty. Dkt. \_\_\_\_\_

P

280189

M#

50684/USw

Client Ref

Filed: April 27, 2001

Title: LUBRICANT COMPOSITIONS

Attn: Application Division

Hon. Commissioner of Patents  
Washington, DC 20231

Date: July 9, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts**  copy attached  not yet received

2.  Signed Declaration attached.  Original  Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and  
 "X" box 2A only if top box of the Declaration is X'd and file application copy, or  
 "X" box 2B only if none of the top three boxes of the Declaration is X'd.)

2A.  Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.

2B.  The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

3.  Specification originally filed in non-English language; hence verified translation attached of:  
 a.  Abstract  
 b. #  pages of Specification (only spec. & claims)  
 c.  Drawing(s) \_\_\_\_\_  
 No of Sheets \_\_\_\_\_  
 Fig(s). \_\_\_\_\_

4.  Letter filing formal drawing attached.

5.  Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) PCT/GB99/03560	October 27, 1999	(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Great Britain

8.

Application No.	Filing Date	Application No.	Filing Date
(1) 9823455.2	October 28, 1998	(2)	
(3)		(4)	
(5)		(6)	

9. \_\_\_\_\_ (No.) Certified copy (copies):  attached;  previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_

10. Small Entity Status   is Not claimed  is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11.  Attached:

12.  Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity	Fee Code
13. Basic Filing Fee .....	Design Application	\$320/\$160			106/26
	Not Design Application	\$710/\$355	+710		101/201
14. Total Effective Claims	9	minus 20 =	0	x \$18/\$9	+0
15. Independent Claims	2	minus 3 =	0	x \$80/\$40	+0
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$270/\$135	+0
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130
18.				<b>FILING FEE ENCLOSED =</b>	<b>\$840</b>
19. Original due date:					
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo)	\$110/\$55 =	+0	115/215	
	(2mos)	\$390/\$195 =		116/216	
	(3mos)	\$890/\$445 =		117/217	
	(4mos)	\$1390/\$695 =		118/218	
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee .....		\$130	+0	139	
22. If "assignment" box 5 is X'd, add recording fee. ....		\$40	+40	581	
23. Petition Fee for		\$130	+0		
24.				<b>TOTAL FEE ENCLOSED =</b>	<b>\$880</b>

Our Deposit Account No. 03-3975

Our Order No.

90128

280189

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP  
Intellectual Property Group**

1600 Tysons Boulevard

By Atty: Paul L. Sharer

Reg. No. 36004

McLean, VA 22102  
Tel: (703) 905-2000  
Atty/Sec: PLS/cdw

Sig:

Fax: (703) 905-2500  
Tel: (703) 905-2180

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**